



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GUERIN et al. Examiner: Unknown
Serial No.: 10/081822 Group Art Unit: 2641
Filed: February 20, 2002 Docket: 09680.0196USU1
Title: SYSTEM FOR MODELING AND SIMULATING EMOTION STATES

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 23, 2003.

By: A Ewald
Name: A Ewald

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450



Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Information Disclosure Statement, Form 1449, 4 Reference(s)
- ☒ Return postcard

RECEIVED

OCT 30 2003

Technology Center 2600

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: Gregory A. Sebold
Name: Gregory A. Sebold
Reg. No.: 33,280
GAS/ame



S/N 10/081822

PATENT

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By: AEwald

Name: A Ewald

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.910)**RECEIVED**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

OCT 30 2003

Technology Center 2600

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in an International Search Report mailed September 18, 2003.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Enclosed for the Examiner's information is a copy of the International Search Report.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.


Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, Minnesota 55402-0903
(612) 332-5300

Dated: October 23, 2003

By



Gregory A. Sebald
Reg. No. 33,280

GAS/ame



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
9680.196-USU1

SERIAL NO.
10/081,822

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

APPLICANT
Charles L. GUÉRIN et al.

FILING DATE
February 20, 2002

GROUP
2641

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 3 6 7 4 5 4	11-1994	Kawamoto et al.	364	419.20	

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OCT 30 2003

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	EP	0	9	7	8	7	7	0	2000	EUROPE				
	EP	0	9	9	2	9	2	7	2000	EUROPE				
	WO	0	1	0	8	0	9	5	2001	INTERNATIONAL				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		"EMOTION-INDEPENDENT FACE RECOGNITION"; by Liyanage C. De Silva and Kho Guan Poh Esther; Visual Communications and Image Processing 2001; SPIE Vol. 4310 (2001); XP008020927; pages 603-613
EXAMINER	DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		